

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TAKATA et al.

Serial No. 09/722,404

Filed: November 28, 2000

For: SECURITY SYSTEM METHOD AND APPARATUS FOR
PREVENTING APPLICATION PROGRAM
UNAUTHORIZED USE

* * * * *

Atty. Ref.: 3917-2

Group:

Examiner:

August 9, 2001

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Enclosed is a copy of the Filing Receipt for the above-identified application which incorrectly states the filing date as March 21, 2001. It should read November 28, 2000. The correction is shown in red on the attached copy of the filing receipt. Correction of same is respectfully requested.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: Mark E. Nusbaum

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/722,404	03/24/2001	3131	1000	3917-2	7	18	5

Nixon & Vanderhye PC
8th Floor
1100 North Glebe Road
Arlington, VA 22201-4714



CONFIRMATION NO. 4573

FILING RECEIPT



OC000000006039631

Date Mailed: 05/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hidekazu Takata, Nara-shi, JAPAN;
Yuji Tanaka, Nara-shi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 05/03/2001

Projected Publication Date: 09/26/2002

Non-Publication Request: No

Early Publication Request: No

Title

Security system method and apparatus for preventing application program unauthorized use

Preliminary Class

713

Data entry by : LADRINGAN, JUDITH

Team : OIPE

Date: 05/03/2001

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TAKATA et al.

Serial No.: 09/722,404

Filed: November 28, 2000

For: SECURITY SYSTEM METHOD AND APPARATUS FOR
PREVENTING APPLICATION PROGRAM
UNAUTHORIZED USE

* * * * *

Atty. Ref.: 3917-2

Group:

Examiner:



August 9, 2001

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

REQUEST FOR CORRECTION OF NOTICE OF RECORDATION

The Notice of Recordation dated March 21, 2001 is erroneous. It incorrectly states the filing date as March 21, 2001. It should read November 28, 2000.

Enclosed is a copy of the originally submitted Recordation Form Cover Sheet and a copy of the Notice of Recordation and assignment document for the above-identified application. Correction of same is respectfully requested.

Since these errors are PTO errors, no additional fee is believed necessary. However, if any additional fee is deemed required to correct these errors, authority is hereby given to charge such fees to our Account No. 14-1140.

Respectfully submitted,
NIXON & VANDERHYE P.C.

By: 

Mark E. Nusbaum

Reg. No. 32,348

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3917-2
MEN



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 05, 2001

NIXON & VANDERHYE P.C.
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1100 NORTH GLEBE ROAD
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ARLINGTON, VA 22201



101655882A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/21/2001

REEL/FRAME: 011630/0947
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TAKATA, HIDEKAZU

DOC DATE: 03/14/2001

ASSIGNOR:

TANAKA, YUJI

DOC DATE: 03/14/2001

ASSIGNEE:

NINTENDO CO., LTD.
11-1, HOKOTATE-CHO, KAMITOBA,
MINAMI-KU
KYOTO, JAPAN

ASSIGNEE:

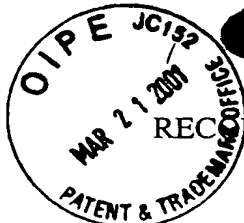
SHARP KABUSHIKI KAISHA
22-22, NAGAIKE-CHO, ABENO-KU
OSAKA, JAPAN

SERIAL NUMBER: 09722404
PATENT NUMBER:

FILING DATE: 11/28/2000
ISSUE DATE: 03/21/2001

011630/0947 PAGE 2

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



04-02-2001

U.S. Department of Commerce
Patent and Trademark Office



PA

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Our Ref.: 3917-2

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hidekazu TAKATA

Yuji TANAKA

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Assignment

☐ Change of Name

☐ Other

Execution Date: March 14, 2001

2. Name and address of receiving party(ies):

Name: Nintendo Co., Ltd.

Internal Address:

Street Address: 11-1, Hokotate-cho, Kamitoba, Minami-ku

City: Kyoto

State/Country: Japan

Zip:

Additional name & address attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

(1) 09/722,404

(2)

(3)

B. Patent No(s).

(1)

(2)

(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark E. Nusbaum

Internal Address:

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8th Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

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9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark E. Nusbaum

Name of Person Signing

Reg. No. 32,348

Signature

March 21, 2001

Date

Total number of pages including original cover sheet, attachments, and document: [4]



RECORDATION FORM COVER SHEET

PATENTS ONLY

Our Ref: 3917-2

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

1. Name of conveying party(ies):



2. Name: SHARP Kabushiki Kaisha

Street Address: 22-22, Nagaike-cho, Abeno-ku

City: Osaka

Country: Japan

Zip:

4. Application number(s) or patent number(s):

A. Patent Application No(s).

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B. Patent No(s).

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ASSIGNMENT FOR PATENT APPLICATION
(U.S. Rights Only)

WHEREAS, Hidekazu TAKATA and Yuji TANAKA, (hereinafter ASSIGNORS) of 3-292-3, Minamikidera-cho, Nara-shi, NARA, Japan; 1-28-9-202, Hiramatsu-cho, Nara-shi, NARA, Japan , respectively, have made an invention entitled **SECURITY SYSTEM METHOD AND APPARATUS FOR PREVENTING APPLICATION PROGRAM UNAUTHORIZED USE** for which a so-entitled application for Letters Patent of the United States is being concurrently executed herewith; was filed in the United States Patent and Trademark Office on **November 28, 2000** under **Serial No. 09/722,404**;

WHEREAS, Nintendo Co., Ltd. and SHARP Kabushiki kaisha (hereinafter ASSIGNEES), corporations of the Country of Japan, having an office and place of business at 11-1, Hokotate-cho, Kamitoba, Minami-ku, KYOTO, Japan; 22-22, Nagaike-cho, Abeno-ku, OSAKA, Japan, respectively are desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of ASSIGNORS' employment with ASSIGNEES and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNORS by these presents hereby sell, assign, and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the full and exclusive right to the said invention in the United States and all foreign countries, as described in the aforesaid application and any regular patent applications to be filed that claim priority therefrom, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-

American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres;

ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any Letters Patent for said invention to ASSIGNEES, for its interest as ASSIGNEES, for the sole use and behalf of ASSIGNEES, its successors, assigns, and legal representatives. It is understood and agreed that ASSIGNEES' attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEES and will continue to represent only ASSIGNEES with respect to this invention.

And, ASSIGNORS hereby agree to transfer a like interest upon request of said ASSIGNEES, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention; and to execute any papers by ASSIGNEES, its successors, assigns, and legal representatives, deemed essential to ASSIGNEES' full protection and title in and to the invention hereby transferred.

Agreeing, further upon request of ASSIGNEES, and without further remuneration, to execute any and all papers desired by ASSIGNEES, for the filing and granting of regular and foreign applications and the perfecting of title thereto in ASSIGNEES.

AGREED and executed as noted below:

March 14, 2001

Date

Hidekazu Takata
Hidekazu TAKATA

March 14, 2001

Date

Yuji Tanaka
Yuji TANAKA